2022 UAW International Officer Election Ballot and Count Ouestions and Answers

The first direct election of International Officers in the history of the UAW is underway. The Monitor, the UAW and the Election Vendor are working together so that every eligible member's vote is counted in a free and fair election. The 2022 UAW International Officer Election (the "2022 Election") has been designed to ensure the integrity of the election process by a secret mail-in ballot. During the week of October 17, 2022, the Election Vendor began the mailing of over one million ballots to members throughout the United States (including Puerto Rico) and Canada, and many members have already returned their executed ballot, well in advance of the November 28, 2022 deadline. Since ballots have gone out, we have heard concerns about the integrity of the election process because of reports of deceased members and non-members receiving ballots and some members receiving multiple ballots. The Monitor has therefore prepared these Ballot and Count Questions and Answers, which includes answers to some of the most common questions we have received.

The members should have great confidence in the integrity, fairness, and transparency of the 2022 Election. As explained in more detail below, the Monitor and the UAW designed a system to identify and investigate potentially duplicate ballots before the vote count begins. To the extent that any other anomalies have been identified, the Monitor, the UAW and the Election Vendor have taken steps to investigate and remedy those issues, and continue to work to devise solutions that are intended to ensure that only eligible members are permitted to vote and only to vote once.

The Monitor welcomes your feedback. Anyone who has additional questions or concerns about the ballots or any issues related to the 2022 Election should contact the Monitor's Elections Hotline at 212-303-2529 or UAWMonitor-Elections@jenner.com.

1. What is the source of the voter roll for the 2022 UAW International Officer Election?

The voter roll for the 2022 Election is drawn from the UAW's "Global Mailing List," which contains the last known names, mailing addresses, and email addresses of UAW members. *See* Election Rule 4-7. The Global Mailing List is populated using information from the UAW's centralized membership database, the Local Union Information System (LUIS). *See* Election Rule 2-3. In addition to member names and addresses, LUIS contains other membership information, including detail on member standing, which reflects eligibility to vote.

Each Local Union is responsible for ensuring that LUIS includes up to date information about its own members. In other words, each Local Union must update LUIS so that it includes each of its member's last known mailing address, email address, and member status (*i.e.*, whether a member is in good standing and therefore eligible to vote). *See* Election Rules 2-3, 4-7.

As described in the Monitor's <u>January 7, 2022 Referendum Report</u>, the Monitor and the UAW have taken steps to update and improve the membership information on the Global Mailing List.

Among other things, the Monitor and the UAW have asked that all Local Unions update their membership information in LUIS on an ongoing basis. The Monitor has also worked directly with Local Unions and the Election Vendor to update and improve the Global Mailing List through various testing efforts, including by running UAW's membership records through the National Change of Address ("NCOA") database maintained by the United States Postal Service, and utilizing a data vendor called TargetSmart to obtain better addresses for those member's ballots that came back as undeliverable during the 2021 Referendum.

2. Why did I receive a ballot for someone who is deceased? What do I do with the ballot?

You may have received a ballot for someone who is deceased because of out-of-date Local Union records. If you received a ballot for a deceased individual, please do not fill it out; instead, please dispose of the ballot and notify the Monitor team by emailing UAWMonitor-Elections@jenner.com. After the Monitor team is informed of the issue, we will advise the Local Union so they can update their records accordingly. You may also want to directly notify the relevant Local Union at your convenience.

3. As the surviving family member of a deceased UAW member, can I vote?

No, surviving family members may not vote on behalf of deceased relatives. Only UAW members in good standing (including members who are part-time workers, reinstated members, and retired members) may vote in the 2022 Election. See Election Rule 2-5. If you received a ballot for someone who is deceased, please dispose of the ballot and follow the instructions above.

Please note that attempting to vote in the 2022 Election despite knowledge of your ineligibility to do so (*e.g.*, voting on behalf of a deceased family member) may constitute the federal crime of mail fraud and will be referred to appropriate law enforcement authorities for prosecution.

4. Why did I receive multiple ballots for the 2022 Election? What should I do with my ballots?

The Monitor is aware that some members may receive more than one ballot in the mail. There are several potential reasons for this, none of which should give the members any concern about the integrity of the election process.

First, some members were mailed more than one ballot because we have more than one potentially valid address for the member, as in the following cases:

(1) The member moved addresses between one to four years ago. In these cases, ballots were sent to both the member's old address on file, and the member's new address identified by the National Change of Address ("NCOA") database maintained by the United States Postal Service. (Mail forwarding will still be active for members that moved under one year ago and opted to have their mail forwarded via the United States Postal Service.)

(2) The member's address on file has returned mail as undeliverable, and a data vendor called TargetSmart identified a more up-to-date address for that member. In these cases, ballots were sent to both the member's old address on file, and the member's new address identified by TargetSmart.

The Monitor and the UAW intentionally sent multiple ballots out in the foregoing cases in an effort to provide every UAW member who may be eligible to vote in the Election with the opportunity to do so. However, as described in more detail below, the Election Vendor has previously flagged all of these potential duplicate ballots so that when they are returned, only one ballot per eligible member will be counted.

Second, other members may have received more than one ballot because there are duplicative records for that member within LUIS, often with variances on someone's name, address, or even in some cases, because they transferred, joined a new local, and the original record was not removed. In these instances, the member's Local Union may have maintained more than one membership record for the member and submitted the same to the International Union on their behalf via LUIS. As described below, the Election Vendor has created safeguards designed to flag any ballot so that if one or more is returned under these circumstances, only one ballot per eligible member will be counted. The Monitor is continuing to work with the UAW and the Election Vendor to further identify any potential categories of duplicate ballots and safeguards to catch the return of more than one ballot for a single eligible member.

If you received more than one ballot for the 2022 Election, please return only one ballot and dispose of any others. Although there are systems in place to identify potential duplicate ballots, we ask that you please also notify the Election Vendor that you received more than one ballot by calling 855-433-8683.

5. What safeguards are in place to protect against a member voting more than one time?

The Election Vendor has systems in place to prevent the processing and counting of multiple ballots for the same member. Among other things, the Election Vendor has flagged all membership records to which multiple ballots were knowingly mailed (*i.e.*, the first two categories of membership records described in the preceding section), and all membership records with some indicia of being duplicate records in LUIS, such as two or more member records with the following characteristics:

- Same name, different address, same local
- Same name, same address, same or different local
- Different name (perhaps a variant such as "Mike" vs. "Michael"), same address, same or different local

When a ballot that has been flagged as a potential duplicate record is returned, it will be separated for further scrutiny. When it comes time to count the ballots, if only one flagged ballot has been returned out of the set of ballots that have been marked as potentially duplicative in the system,

then that ballot will be counted. If more than one flagged ballot is returned from the set of ballots that have been marked as potentially duplicative in the system, there will be a further investigation to determine whether the ballots are from the same member or different members. If the ballots were sent back from the same eligible member, the last ballot received will be counted and the other(s) will be marked void. If the investigation reveals that the potential duplicates were in fact voted by two different, eligible members, both ballots will be counted.

The Monitor, UAW, and Election Vendor continue to work together to identify additional potential duplicate ballots that may have been mailed. Any such ballots will be subject to the same processes described above.

6. Why did I receive a ballot even though I am not a member of the UAW? What should I do with the ballot?

If you received a ballot even though you have never been a UAW member, then the ballot was mailed to you by mistake. This may be due to your name inadvertently being added to the membership roster of a Local Union because you were associated with a particular job site that employs UAW members, even though you were not a member of the UAW. It is also possible that some of the addresses provided to the Election Vendor from a data vendor called TargetSmart, as part of the Election Vendor's efforts to improve the Global Mailing List, were simply incorrect and not the updated address for a UAW member. Please do not fill out the ballot; instead, dispose of it immediately and notify the Monitor team by emailing UAWMonitor-Elections@jenner.com. After the Monitor team is informed of the issue, we will advise the relevant Local Union so they can update their records accordingly.

Please note that attempting to vote in the 2022 Election despite knowledge of your ineligibility to do so (*e.g.*, you are not a UAW member), may constitute the federal crime of mail fraud and will be referred to appropriate law enforcement authorities for prosecution.

7. What safeguards are in place to protect against non-members voting in the 2022 Election?

The Monitor, UAW, and Election Vendor have implemented processes to audit and correct records from Local Unions that may have inadvertently included non-member information. The Monitor is also investigating any reports of non-members receiving ballots to identify and correct the underlying cause. Ballots that may have been mailed to non-members will be flagged and, if mailed back, segregated for further investigation before the ballot counting begins.

8. How will you determine which members are in good standing and therefore eligible to vote?

All UAW members in good standing as of October 31, 2022, are eligible to vote in the 2022 UAW International Officer Election, including members who are part-time workers, reinstated members, and retired members. *See* Election Rule 2-5. The Election Vendor will receive a list from the

UAW regarding which members are in good standing as of October 31, 2022, based on the information in LUIS. Per <u>Election Rule 5-2</u>, the Election Vendor will then use that list to prepare a database of eligible members—referred to in the Election Rules as the "Election Control Roster." Before a ballot is counted, the Election Vendor will verify that the ballot is associated with a member who is eligible to vote based on the information in the Election Control Roster.

9. What happens if someone intentionally votes multiple ballots or someone else's ballot?

If an individual knowingly attempts to vote in the 2022 Election despite knowledge of their ineligibility to do so, such conduct may constitute the federal crime of mail fraud and will be referred by the Monitor to appropriate law enforcement authorities for prosecution.

10. I am a retired member who has not paid dues, but I got a ballot. Am I allowed to vote?

All UAW members in good standing as of October 31, 2022, are eligible to vote in the 2022 UAW International Officer Election, including members who are part-time workers, reinstated members, and retired members. *See* Election Rule 2-5. Further, any member in good standing who is retired is entitled to a "retired membership status" which, without being required to pay membership dues during the period of such retirement, entitles them to all of the privileges of membership (with certain enumerated exceptions), including the right to vote in this 2022 UAW International Officer Election. *See* Election Rule 5-1.

11. I am a temporary part time worker. Am I allowed to vote?

A temporary part time worker is eligible to vote in the 2022 Election if that worker is a member in good standing as of October 31, 2022, and otherwise meets the general membership requirements of Art. 6, Section 2 applicable to all UAW members.

If temporary part time workers, or anyone else, believes that they are a UAW member in good standing and should be eligible to vote but have not received a ballot, they should immediately request one online at www.uawvote.com or by calling 855-433-8683.

12. I received a ballot from a Local Union at which I used to be a member, but I retired from a different Local Union. Can I vote the ballot that I received?

The answer to this question depends on the relevant Local Unions and Regions. If all of your relevant Local Union affiliations are in the same Region, you may vote using the ballot you received. But if your former Local Union is not in the same Region as the Local Union from which you retired, please do not fill out your ballot, and instead request a new ballot by visiting www.uawvote.com or calling 855-433-8683. The Monitor will advise the International Union to notify the relevant Local Unions so they can update their records and hopefully avoid this issue in the future.

13. How can people vote if they are away from home or abroad?

Any individual who is away from home or living abroad may request their ballot be sent to a different address by calling 855-433-8683 or online at www.uawvote.com. Note, however, that all ballots must be returned by the November 28, 2022 deadline to be counted, and there may be difficulties in meeting this deadline if you have not requested a ballot sufficiently in advance of the deadline. As instructed on the ballot, no individual may ask any other individual to fill out their ballot and/or vote on their behalf.

14. How do I find out who to vote for? Can you tell me who to vote for? Is there a proxy vote for people who do not know anything about the candidates or what we are voting for?

The court-appointed Monitor is a neutral, independent party and does not take any position on whom members should vote for in the 2022 Election. Members must complete their ballots themselves, and no person may vote on another person's behalf in the 2022 Election.

If any member needs more information on the Candidates, please consider the following resources:

- The July/August/September 2022 edition of Solidarity Magazine, available online at https://uaw.org/wp-content/uploads/2022/10/summer solidarity 2022-1.pdf.
- The UAW's website at https://uaw.org/election2022/.
- Recordings of the September 2022 Candidate Forums hosted by the Monitor on the Monitor's website at www.uawmonitor.com/elections.

For more information about the 2022 Election, including the Election Rules, please visit the Monitor's website at www.uawmonitor.com/elections or contact the Monitor's Elections Hotline with specific questions at 212-303-2529 or UAWMonitor-Elections@jenner.com.

15. Can I write in a candidate?

No, you may not "write in" a Candidate. The Candidates for each office are listed on the ballot. The ballot instructs that, in order to vote, the voter must completely fill in the oval to the left of the Candidate of their choice, and that voters may not vote for more than the number of positions to be filled for any office. There is no space provided for voters to "write in" a candidate. If a voter attempts to "write in" a candidate for any office, that "write in" vote will not be counted, and it is possible that the ballot may be voided in its entirety.

16. Why is there no place for me to sign the ballot?

Members should **not** sign their ballots. Putting personally identifying information on the ballot may lead to it being voided in its entirety. Each ballot package has a unique tracking number that will allow the Election Vendor to link the ballot to your name to make sure that only the votes of

eligible members of the Union will count. The ballots use this system, rather than member names, in order to ensure the secrecy of members' ballots.

17. My envelope will not seal properly, and I am worried that it will get flagged because it says that ballots not sealed properly will not be counted. Can I tape it shut? Or should I request a new ballot?

If your envelope will not seal properly, you can seal the envelope however you think best, including with tape, or some other type of glue/adhesive. The Election Vendor will only void a ballot if it has obviously been ripped apart and resealed.

If you feel that you have damaged your ballot, you may request a new one by visiting the Monitor's website at www.uawvote.com or calling 855-433-8683.

18. I requested a replacement ballot but still have not received it. Should I request another one?

Please allow 5-7 days for the ballot to arrive. If you still have not received your replacement ballot after that amount of time, please call 855-433-8683 for more information.